

File No.: 9-13528-156US



June 24, 2002

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Malcolm Betts, et al.  
**Serial No.:** 09/992,410  
**Filed:** November 26, 2001  
**Title:** CROSS-CONNECTION OF HIGH BANDWIDTH  
SIGNAL TRAFFIC ACROSS INDEPENDENT  
PARALLEL SHELVES  
**Agent of Record:** Kent Daniels **Tel:** (613) 230-6072

Assistant Commissioner for Patents  
Washington, D.C. 20231  
U.S.A.

Sir:

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**INFORMATION DISCLOSURE STATEMENT**  
**PRIOR TO FIRST OFFICE ACTION**

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

By:

Kent Daniels  
Agent of Record, Registration No. 44,206  
OGILVY RENAULT  
1600 - 1981 McGill College Avenue  
Montreal, Quebec, Canada H3A 2Y3

Encls.



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Sheet 1 of 1

Form PTO-1449 (Rev.7-80)	U.S. Department of Commerce Patent & Trademark Office	ATTY. DOCKET NO. 9-13528-156US	SERIAL NO. 09/992,410
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Malcolm Betts, et al.	
		FILING DATE: November 26, 2001.	GROUP 2661

#### U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	5,710,650	Jan.20/98	Dugan	359	133	Mar.14/96
	AB	6,002,692	Dec.14/99	Wills	370	465	Dec.30/96
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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#### FOREIGN PATENT DOCUMENTS

		Document number	Date	Country	Class	Subclass	Translation
	AL						
	AM						
	AN						
	AO						
	AP						

#### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

Examiner	Date considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/992,410
Filing Date	November 26, 2001
First Named Inventor	BETTS, Malcolm
Group Art Unit	2661
Examiner Name	-
Attorney Docket Number	9-13528-156US

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### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>Acknowledgement of receipt card</div>
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Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kent Daniels (Reg. No. 44,206)
Signature	<i>K. Daniels</i>
Date	June 24, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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